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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(21) International Application Number: PCT/US98/17605 (22) International Filing Date: 25 August 1998 (25.08.98) (71) Applicant: SCHLUMBERGER INDUSTRIES, S.A. [FR/FR]; 50, avenue Jean-Jaures, F-92120 Montrouge (FR). (71)(72) Applicant and Inventor: CRONIN, Mary, J. [US/US]; 80 Manemet Road, Newton, MA 02159 (US). (72) Inventor: GUTHERY, Scott, B.; 19 Foster Road, Belmont, MA 02178 (US). (74) Agents: SMITH, James, M. et al.; Hamilton, Brook, Smith & Reynolds, P.C., Two Militia Drive, Lexington, MA 02421 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: GRAPHIC DIGITAL SIGNATURES (57) Abstract A method for steganographically embedding digital signatures into graphic and audio attachments in electronic communications such as e-mail, World Wide Web pages, etc. Recipients, seeing the graphic or hearing the audio, are made aware of the existence of the embedded digital signature and may request that it be validated. The digital signature is extracted from the attachment and validated for the recipient. 		

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-1-

GRAPHIC DIGITAL SIGNATURES

BACKGROUND OF THE INVENTION

5 With the increased usage of the Internet and other computer networks, it is becoming increasingly important to be able to ensure that an electronic message received over a network is both authentic, i.e., that the sender is who he claims to be, and that the message has not been altered by some third party en route.

10 Digital signatures have been developed to fill this need. A digital signature is a digital representation of information identifying the author or source of a document which includes but is not limited to a demonstration of knowledge of secret or little-known information

15 Generally, a sender, using a computer or similar digital means, composes a message he intends to send to one or more recipients. At the sender's request, or automatically, a digital signature is derived based on some aspect of the message. How the digital signatures are
20 actually coded and decoded are beyond the scope of this patent, but see Mitchell, Piper and Wild, "Digital Signatures", Contemporary Cryptology, pp. 325-378, which is incorporated herein by reference.

25 Digital signatures are typically attached to digital messages which are passed from one computer to another via a network - from small, in-house intranets to the large networks such as the Internet. Current methods represent digital signatures as large blocks of alphanumeric,

-2-

hexadecimal or binary characters. For example, a digital signature might be represented in an electronic mail (e-mail) as follows:

iQBFAGUBM0jm3ygmPqV0uJ6VAQFOqWf/emw7/F1wFFe3q00H1QZbtzJI5Y2
5 RKMgYovXpsOsUgNVAbqHMiyHD2uTDLVxdID76=THEF

Representations such as this are not only aesthetically unpleasing to a human recipient but due to their highly technical appearance may serve to discourage non-technical users from using digital signature technology
10 altogether.

SUMMARY OF THE INVENTION

Representing digital signatures in meaningful and intuitive graphical and audio forms allows non-technical users to easily understand their purpose. Such users are
15 therefore more likely to use digital signatures. By providing a method for personalizing the display of a digital signature, all users are encouraged to use digital signatures in their own messages.

20 The present invention provides means for steganographically embedding a digital signature into a more intuitive signature graphic such as is shown at 50 in Fig. 1 or into an audio recording of the sender saying something such as "This document about widget pricing dated
25 May 23, 1997, has been written by me."

In accordance with the present invention, a method of digital communication comprises the steps of providing a digital message that the sender desires to send to one or more recipients; providing a digital signature such as a

-3-

private key encrypted hash of the message or a biometric such as a private encryption key or unique biometric information such as a facial picture, a fingerprint, an iris or retinal scan, typing and handwriting patterns, hand
5 or finger geometry or a voice print; providing a user-perceptible attachment such as a picture or audio; embedding the digital signature into the attachment; attaching the attachment to the message to form a digital communication; and sending the communication.

10 In a preferred embodiment, the digital signature is embedded into the attachment by substituting bits of the digital signature into selected least significant bits of the attachment. In this manner, the changes to the attachment are virtually undetectable by the recipient.
15 The existence or the state of the attachment itself may alert the recipient to the fact that a digital signature is present. Furthermore, a header may be embedded into the attachment at predetermined locations. This header may serve to identify the existence of the digital signature,
20 or the header may contain parameters which provide further information about the digital signature, e.g., coding technique, location within the attachment, etc.

The attachment may be modified before embedding the digital signature by any number and manner of
25 transformations. Such modification may be used to alert the recipient that a digital signature is present. Examples of such modification transformations are warping and morphing.

Either the recipient can initiate verification of the
30 digital signature, or such verification can come about

-4-

automatically. Generally, when a communication is received, both the message and the attachment are available to the recipient. The recipient may begin the verification process, for example, by selecting the attachment using a computer mouse. Verification comprises extracting the digital signature from the attachment and verifying the validity of the digital signature according to standard digital signature techniques. Finally, the recipient may be notified as to whether the digital signature is valid or not, or the digital signature may be displayed to the recipient. The software that forms those functions may, for example, be incorporated in otherwise conventional e-mail software.

The above and other features of the invention including various novel details of construction and combinations of parts, and other advantages, will now be more particularly described with reference to the accompanying drawings and pointed out in the claims. It will be understood that the particular method and device embodying the invention are shown by way of illustration and not as a limitation of the invention. The principles and features of this invention may be employed in various and numerous embodiments without departing from the scope of the invention.

25 BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, features and advantages of the invention will be apparent from the following more particular description of preferred embodiments and the drawings in which like reference characters refer to the same parts throughout the different

-5-

views. The drawings are not necessarily to scale, emphasis instead being placed upon illustrating the principals of the invention.

Fig. 1 illustrates a graphic signature as it might appear in an e-mail.

Fig. 2A illustrates a prior art electronic e-mail communication with an alphanumeric representation of a digital signature.

Fig. 2B illustrates an electronic e-mail communication with a graphic in which a digital signature is steganographically embedded.

Fig. 3 is a flowchart showing generally the process of embedding a digital signature.

Fig. 4 is a flowchart showing generally the process of extracting a digital signature and further processing it.

Fig. 5 illustrates a graphic which could be used to notify a recipient of a valid digital signature.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Fig. 2A illustrates an electronic mail (e-mail) communication 100 as it might appear in prior art. The sender has composed a message 102, in this example, consisting of the sentence "Please give Alice Gilbert a master key," followed by a salutation. A digital signature 104 is generated. The digital signature may be based on the message 102 and a key known or provided to the sender's computer, or it may be independent of the message 102 itself, as in the case where the signature is a fingerprint. The digital signature 104 is appended to the message 102, along with some additional information 106, to form the digital communication, which is transmitted or sent to one or more intended recipients. A non-technical

-6-

person receiving this message may not immediately know the purpose of the digital signature 104 and/or how to use it.

Fig. 2B illustrates the equivalent email communication 110 as produced by the present invention. A message 112
5 consists of some text the sender wishes to convey to the recipient, again, in this example, the sentence "Please give Alice Gilbert a master key," followed by a salutation. In this example, however, a graphic, digitized (not digital) signature 114 of the sender (Sally) is attached to
10 the communication. This graphic 114 has been slightly altered such that it contains the same digital signature as shown in 104 of Fig. 2A.

When the signed email 110 of Fig. 2B arrives at its destination and is selected for reading by the recipient,
15 the recipient is offered the opportunity to verify the signature. If the user decides to verify the signature, for example by selecting a "Verify" button, then the e-mail software extracts the digital signature 104 from the graphic 114 and performs the usual digital signature
20 verification. If the digital signature 114 is verified, i.e., the digital signature supports the claim that the email comes from Sally Green, the software might display an acknowledgment such as "Signature verified as the signature of Sally Green," or a graphic 60 such as that shown in Fig.
25 5.

Of course it would be understood in the art that the message may be text, audio, graphic, or some other mode of conveying information digitally. Furthermore, it would be understood that the communication is not limited to email.
30 For example, the communication could be a transmittal of a

-7-

World Wide Web page or some other means of digitally transmitting a message. Finally the attachment into which the digital signature is embedded may itself be text, audio, graphic, video, etc.

5 The attachment may be modified before embedding the digital signature. For example, warping and morphing, as well as other transformations, may be applied to a graphic or picture. Similarly, other types of transformations may be applied to audio signals. Such modifications may be
10 used to alert the recipient that a digital signature is present.

Fig. 3 is a flowchart showing generally the process of embedding a digital signature. First, at 200, the sender composes or otherwise provides a message comprising the
15 information he wishes to communicate to the recipients. At 202 an image or audio attachment is provided, and at 204 a digital signature is calculated or otherwise provided. Examples of suitable digital signature algorithms can be found in "Digital Signature Schemes" by Birgit Pfitzmann
20 and published by Springer-Verlag in 1996 (ISBN 3-540-61517-2) which is herein incorporated by reference.

The attachment can be modified using image processing or audio processing algorithms. The purpose of this transformation is to provide to the recipient an indicator
25 that a digital signature has been included and can be validated. For example, an image may undergo warping 206, morphing 208, and/or other transformations 210. Audio be may subjected to audio-type transforms, for example, filtering.

-8-

Warping 206 is typically applied to line-drawing images and comprises bending or moving some of the lines in the image. For example, if the original attachment is an image of a dragonfly and the body of the dragonfly is a long, curved cigar shape, this image can be warped by increasing or decreasing the bending of the body of the dragonfly. In one embodiment of the present invention, the amount of warping is determined based on parameters computed from the document such as a word count.

10 Morphing algorithms 208 transform one image to another by computing a series of images visually between a beginning image and an ending image. In one embodiment of the present invention, a user chooses an ending image to morph an original "signing" image toward. If some computed value for the message, such as a word count or a digital signature, is n on a scale from 0 to N , then the image that is n/N of the way from the original signing image to the ending image is selected as the attachment in which the digital signature will be embedded. Examples of suitable warping and morphing algorithms can be found in "Digital Image Warping" by George Wolberg and published by the IEEE Computer Society Press in 1990 (ISBN 0-81868944-7) which is herein incorporated by reference.

Referring again to Fig. 3 after the transformations 206, 208, 210 have been applied to the attachment, the digital signature is embedded into the attachment using steganographic techniques 212, described below. The attachment is attached 214 to the message to form a communication, and finally, the communication is transmitted 216 to the intended recipients.

-9-

Steganographic algorithms are typically used to hide information in images, although the technique is easily applied to digital audio signals as well. What appears to be an innocent picture actually contains a secret message.

5 The embedding of the text into the picture is deliberately constructed so as to not disturb the image such that a viewer cannot tell that the picture contains a message simply by looking at it. In other words, the embedding does not alter - at least to the human eye or ear - the

10 appearance of the picture or the sound of an audio. Suppose for example that a black and white photograph exists such that each point (pixel) in the photograph is represented as a 16-bit number where 0 represents all white, 32,768 represents all black and numbers in between

15 represent shades of gray between white and black. Taking a digital signature as a string of bits, the digital signature can be embedded into the photograph by setting the low-order bit of a pixel (a point in the photograph) of the photograph to 0 when the corresponding bit in the

20 digital signature is 0, and to 1 when the corresponding bit in the digital signature is 1. If the low-order bit in the pixel were originally 0 and it is now set to 1, then the pixel becomes just a little darker, but not enough to be noticeable. Similarly, if the low-order bit in the pixel

25 were originally 1 and it is now set to 0, then the pixel becomes just a little brighter, but again not enough to be noticed. Continuing in this fashion, all of the bits of the digital signature can be embedded into the photograph without visually changing it. Examples of suitable

30 steganographic algorithms can be found in "Information Hiding: Proceedings of the First International Workshop, U.K. May 30 - June 1, 1996" edited by Ross Anderson and

-10-

published by Springer-Verlag in 1996 (ISBN 3-540-61996-8)
which is herein incorporated by reference.

In the present invention, it is not the intention to
actually hide the digital signature from a recipient, that
5 is prevent a recipient from accessing the embedded digital
signature, but rather to use the attachment to "carry" the
digital signature in such manner that the attachment is not
noticeably altered by the digital signature.

Fig. 4 is a flowchart showing generally the process of
10 extracting and processing the digital signature from the
received communication. First, the communication must be
received by the recipient or his computer 302. The
recipient must be made aware that the communication
contains an embedded digital signature. This is done by
15 displaying 304 the graphic attachment so that the recipient
can see it, or, in the case of an audio attachment, playing
the attachment so that the recipient hears it. Any
transformations which have been applied may themselves be
the clue to the recipient that a digital signature has been
20 embedded.

The recipient can then ask for validation of the
digital signature 306 and the digital signature is
extracted from the attachment 308 by reading the bits known
to contain the digital signature. In a preferred
25 embodiment, the digital signature is verified 310 and the
recipient is notified 312 as to whether the signature is
valid or not. Alternatively, the extracted digital
signature can be displayed 314 for the recipient's viewing.

-11-

While this invention has been particularly shown and described with references to preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and detail may be made therein
5 without departing from the spirit and scope of the invention as defined by the appended claims.

-12-

CLAIMS

1. A method of digital communication comprising:
 providing a digital message;
 generating a digital signature related to the
5 message; and
 embedding the digital signature in a user-
perceptible attachment accompanying the digital
message.
- 10 2. A method as in Claim 1 wherein embedding the digital
signature comprises the steps of:
 selecting bits within the attachment;
 associating bits of the digital signature with
the selected bits; and
 altering the selected bits by writing the digital
15 signature bits into the associated attachment bits.
3. A method as in Claim 2 wherein a known header string
is inserted at a predetermined location in the
attachment.
- 20 4. A method as in Claim 3 wherein the header string
comprises parameters describing the digital signature.
5. A method as in Claim 1 further comprising the step of
modifying the attachment before the step of embedding
the digital signature.
- 25 6. A method as in Claim 1 wherein the attachment is a
digital picture.

-13-

7. A method as in Claim 6 wherein the digital picture is a representation of an entity whose identity is being embedded in the picture.
8. A method as in Claim 6 further comprising the step of
5 modifying the digital picture before the step of embedding the digital signature.
9. A method as in Claim 8 wherein the step of modifying the digital picture comprises warping the digital picture according to a computed value.
- 10 10. A method as in Claim 9 wherein the computed value is the digital signature.
11. A method as in Claim 8 wherein the step of modifying the digital picture comprises morphing the digital picture according to a computed value.
- 15 12. A method as in Claim 1 wherein the attachment is a digitized audio passage.
13. A method as in Claim 12 further comprising the step of
modifying the audio passage in accordance with a
computed value, before the step of embedding the
20 digital signature.
14. A method as in Claim 12 wherein the audio passage is a voice recording of an entity whose identity is being embedded in the audio passage.
15. A method as in Claim 1 wherein the digital signature
25 is generated from a private encryption key.

-14-

16. A method as in Claim 1 wherein the digital signature is a digitized biometric.
17. A method as in Claim 16 wherein the digitized biometric is a digital fingerprint.
- 5 18. A method as in Claim 16 wherein the digitized biometric is a digital voiceprint
19. A method as in Claim 16 wherein the digitized biometric is a digital retina scan.
- 10 20. A method as in Claim 1 further comprising:
including the attachment with the embedded digital signature in a digital communication;
transmitting the digital communication to a recipient;
receiving the digital communication at the
15 recipient;
extracting the digital signature from the attachment within the received digital communication;
and
processing the extracted digital signature.
- 20 21. A method as in Claim 20 wherein the step of processing the extracted digital signature comprises:
verifying validity of the digital signature; and
notifying the recipient as to whether the digital signature is valid or not.
- 25 22. A method as in Claim 21 further comprising before the step of verifying validity:

-15-

providing a means wherein the recipient is made aware of the existence of the digital signature; and providing a means wherein the recipient can indicate that the digital signature should be validated, at which time the verifying and notifying steps take place.

23. A method as in Claim 21 wherein the step of processing the extracted digital signature comprises:
displaying the digital signature to the recipient.
24. A computer system for sending a digital communication comprising:
means for providing a digital message;
means for generating a digital signature related to the message;
means for embedding the digital signature in a user-perceptible attachment;
means for attaching the attachment to the message to form the digital communication; and
means for sending the communication to a recipient.
25. A computer system for verifying authenticity of a received digital communication comprising:
means for receiving the digital communication comprising a digital message and a user-perceptible attachment;
means for extracting the digital signature from the attachment; and
means for processing the digital signature.

-16-

26. A computer system as in Claim 25 wherein the means for processing the digital signature further comprises:

means for verifying validity of the digital signature; and

5 means for notifying a recipient as to whether the digital signature is valid or not.

27. A computer system as in Claim 25 wherein the means for processing the digital signature further comprises:

10 means for displaying the digital signature to the recipient.

1/5

FIG. 1

Sally

50

2/5

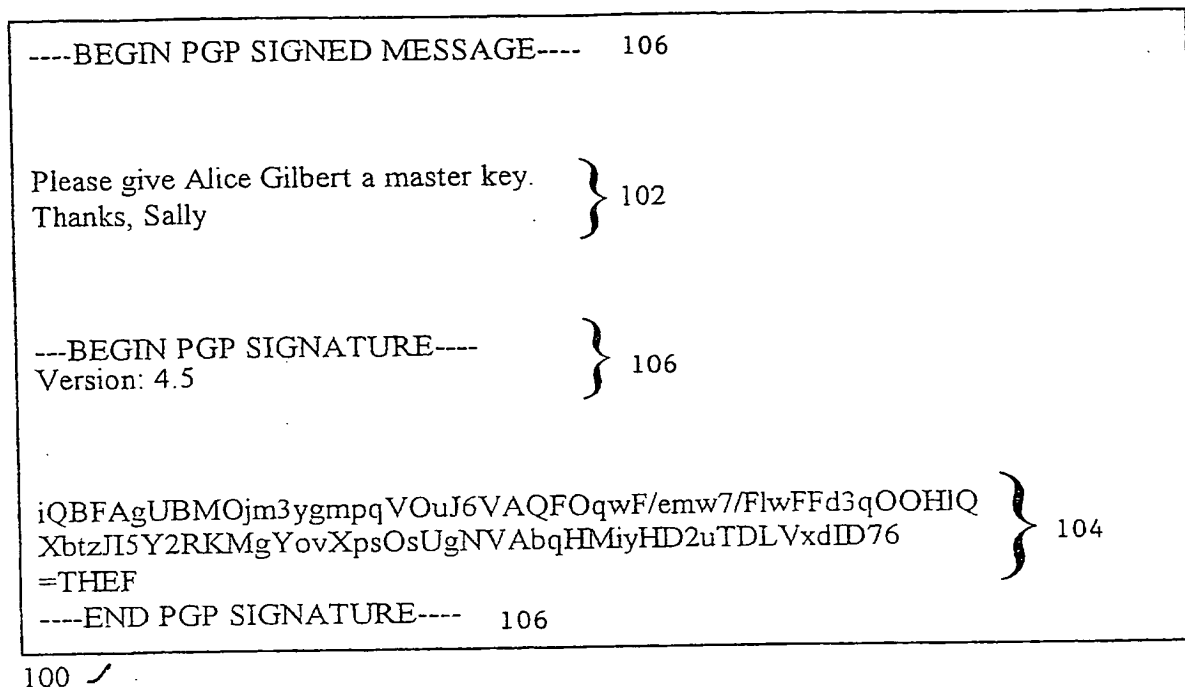


FIG. 2A
(prior art)

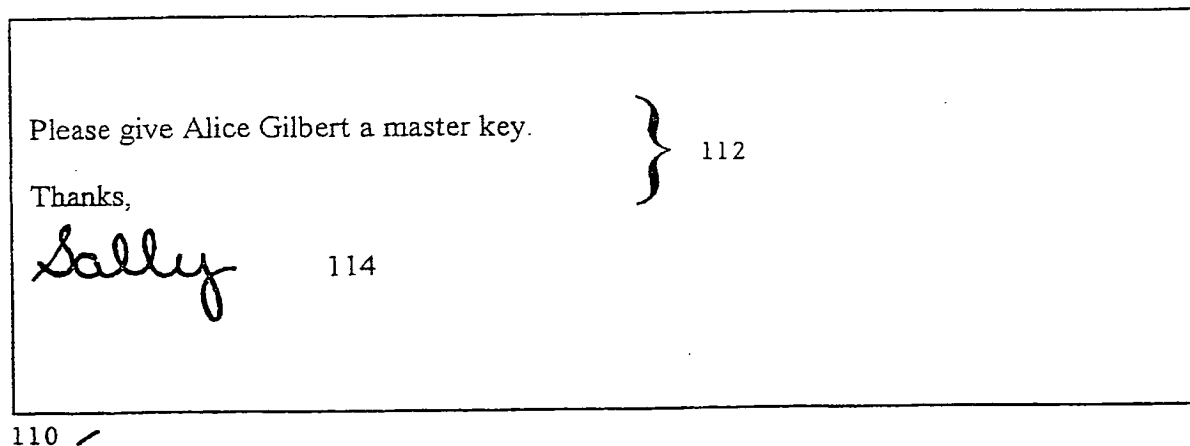


FIG. 2B

3/5

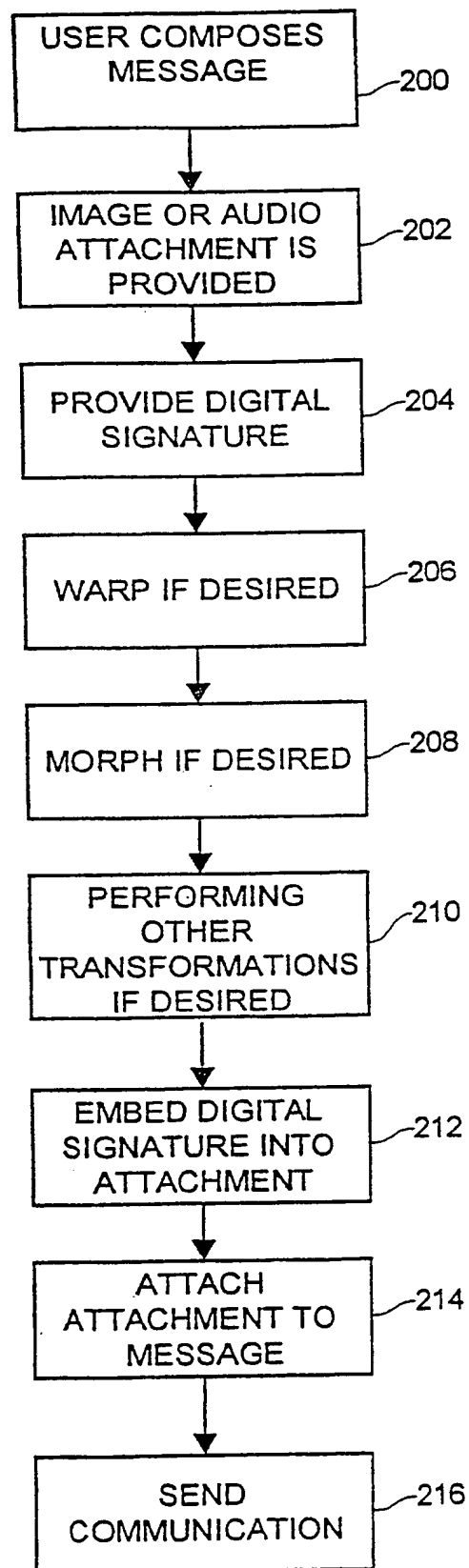


FIG. 3

4/5

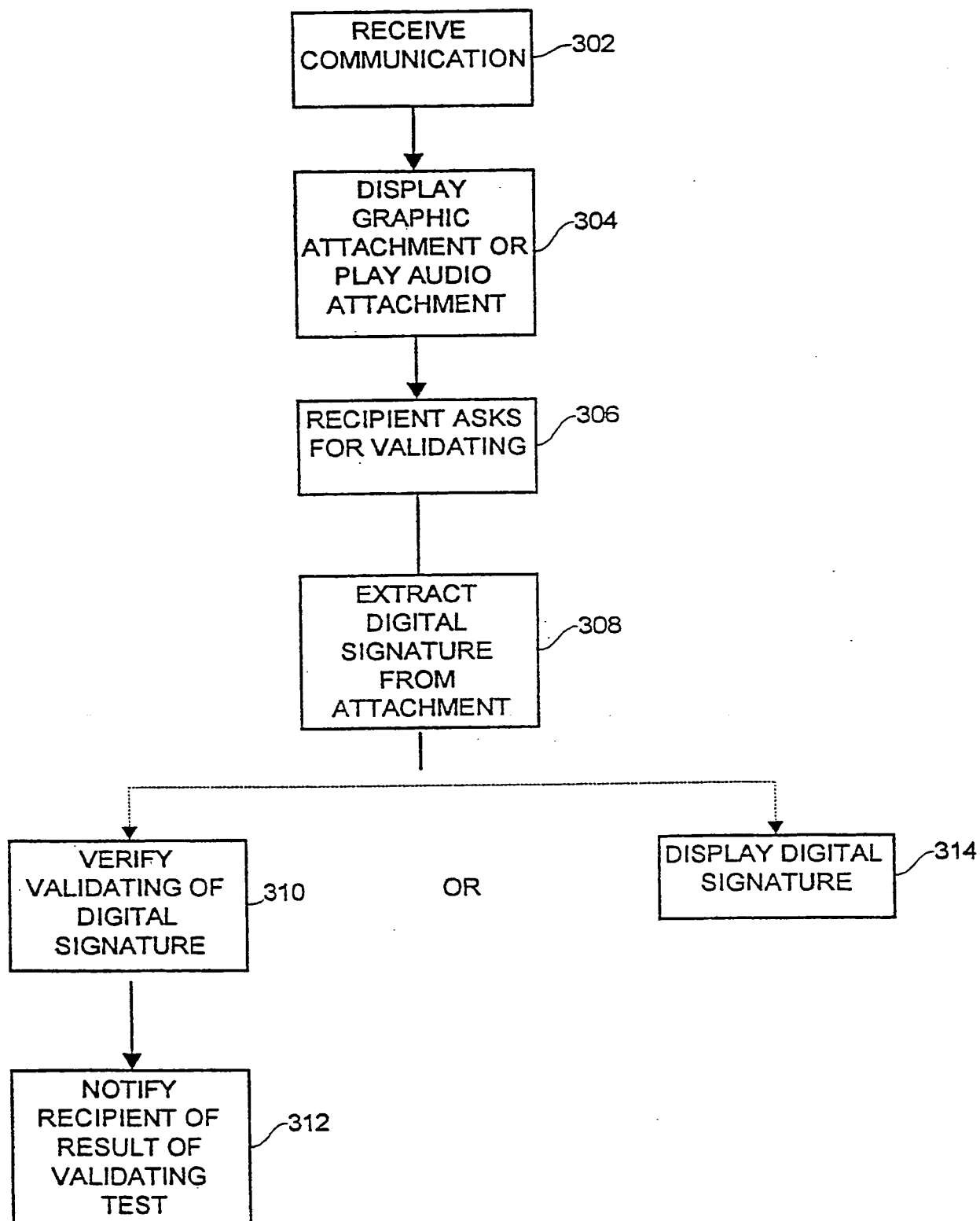
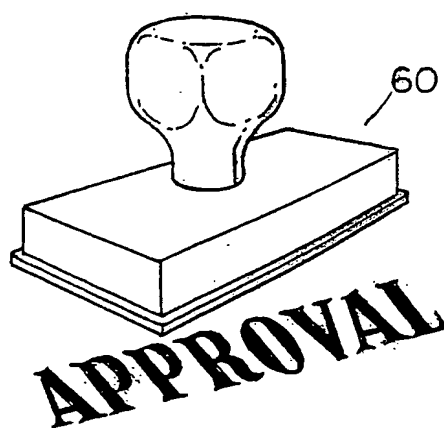


FIG. 4

FIG. 5



INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/17605

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H04L9/32

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y A	<p>US 5 765 176 A (BLOOMBERG DAN S) 9 June 1998</p> <p>see column 14, line 18 - column 15, line 24 see column 22, line 59 - line 63 see column 26, line 65 - column 27, line 45 see figures 17,18</p> <p style="text-align: center;">--- -/--</p>	<p>1,2,6-8, 15,25-27 12-14, 16-18 10,20-24</p>

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

19 April 1999

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23/04/1999

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Masche, C

INTERNATIONAL SEARCH REPORT

Intern. .onal Application No

PCT/US 98/17605

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